

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER
5048/76775

U.S. APPLICATION No. (if known; see 37 CFR 1.5)

10/590872

INTERNATIONAL APPLICATION NO.
PCT/JP2005/003018

INTERNATIONAL FILING DATE
24 February 2005

PRIORITY DATE CLAIMED
27 February 2004

TITLE OF INVENTION

OPTICAL MEMBER AND BACKLIGHT USING THE SAME

APPLICANT(S) FOR DO/EO/US Masashi TAKAI, Sachiko ANDO, Yohei FUNABASHI, Yasumaro TOSHIMA, Takashi SHIMIZU Masayuki NAKATANI, Reiko TAKAHASHI, Hirochi MATSUYAMA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98, including Form PTO-1449 and copies of cited references.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☒ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

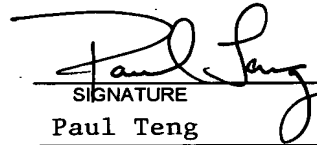
U.S. APPLICATION NO. (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER	
10/590872		PCT/JP2005/003018		5048/76775	
20. Other items or information: See page 4					
The following fees have been submitted				CALCULATIONS PTO USE ONLY	
21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a))..... \$300				\$ 300	
22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c))					
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0				\$ 200	
All other situations..... \$200					
23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b))					
If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0					
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100				\$ 400	
International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB..... \$400					
All other situations..... \$500					
TOTAL OF 21, 22 and 23 =				900	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
30 - 100 =	/50 =		x \$250	\$	
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	20 - 20 =	0	x \$ 50	\$	
Independent claims	1 - 3 =	0	x \$200	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	\$	
TOTAL OF ABOVE CALCULATIONS =				\$ 900	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.					
SUBTOTAL =				\$ 900	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				+	\$
TOTAL NATIONAL FEE =				\$ 900	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$ 40
TOTAL FEES ENCLOSED =				\$ 940	
				Amount to be refunded:	\$
				Amount to be charged	\$

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

- a. ☒ A check in the amount of \$ 940.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 03-3125. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

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40,837

REGISTRATION NUMBER

10/590872

IAP9 Rec'd PCT/PTO 25 AUG 2006

Dkt. 5048/76775

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Masashi TAKAI, Sachiko ANDO, Yohei FUNABASHI, Yasumaro
TOSHIMA, Takashi SHIMIZU, Masayuki NAKATANI, Reiko
TAKAHASHI, Hirochi MATSUYAMA

Serial No.: Not Yet Known Int'l Appl'n No.: PCT/JP2005/003018

Date Filed: Concurrently Herewith Int'l Filing Date: 24 February 2005

For: OPTICAL MEMBER AND BACKLIGHT USING THE SAME

**Page 4 of Transmittal Letter To The United States Designated/Elected Office
(DO/EO/US) Concerning A Submission Under 35 U.S.C. 371**

20. Other items or information:

- Express Mail Certificate Label No. EV 554809611US;
- copy of Notification Concerning Transmittal Of Copy Of International Application
As Published Or Republished(including copy of International Publication No.
WO 2005/083474 A1)
- copy of PCT Request;
- copy of PCT/ISA/220;;
- copy of PCT/ISA/210; and
- copy of PCT/ISA/237.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Masashi TAKAI, Sachiko ANDO, Yohei FUNABASHI, Yasumaro TOSHIMA,
Takashi SHIMIZU, Masayuki NAKATANI, Reiko TAKAHASHI, Hirochi
MATSUYAMA

Serial No.: Not Yet Known Int'l Appl'n No.: PCT/JP2005/003018

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For: OPTICAL MEMBER AND BACKLIGHT USING THE SAME

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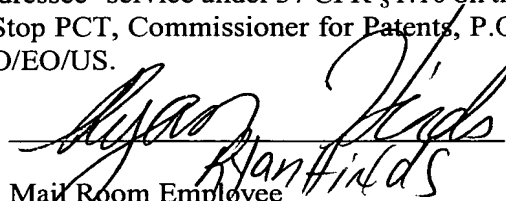
Sir:

EXPRESS MAIL LETTER OF TRANSMITTAL

Express Mail mailing label number: EV554809611US

Date of Deposit: August 25, 2006

I hereby certify that the above-identified new application, consisting of consisting of four pages of the Transmittal Letter To The United States Designated/Elected Office (DO/EO/US) Concerning A Filing Under 35 U.S.C. 371 (in duplicate), copy of PCT request and the international application as filed, English translation of the international application [25 pages including claims 1-20, and in addition 5 sheets of formal drawings (Figs. 1-13)]; Declaration And Power Of Attorney (5 pages); Assignment and Recordation Cover Sheet (6 pages total); Information Disclosure Statement (including Form PTO-1449 and copies of references); Preliminary Amendment; copy of Notification Concerning Transmittal Of Copy Of International Application As Published Or Republished, including International Publication No. WO 2005/083474 A1; copy of PCT/ISA/220; copy of PCT/ISA/210; copy of PCT/ISA/237; a check in the amount of \$940.00; and return stamped postcard, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to the Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, ATTN: DO/EO/US.


Mail Room Employee
Depositing Express Mail Material

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